

08/16/01
1c759 U.S. PTO

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Attorney Docket No. 22369-001

08-17-01
jc978 U.S. PTO
09/930812
08/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR Dr. Tan-Na Chu
APPLICATION IDENTIFIER:

FOR: END-TO-END SECURE FILE TRANSFER METHOD AND SYSTEM

August 16, 2001
New York, New York

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 80);
Specification (29 pages); Claims (8 pages); Abstract (1 page); and
Drawings: 42 sheets; FIGS. 1-13.
☐ Formal
☒ Informal
3. ☒ Declaration and Power of Attorney
☐ Unsigned
☒ Signed
4. ☐ Information Disclosure Statement (IDS)
☐ Copy of IDS and PTO-1449 (___ pages)
☐ Copies of references cited
5. ☐ Assignment Papers
☐ Recordation Form Cover Sheet (PTO-1595)
☐ Assignment Document
6. ☐ Statement Claiming Small Entity Status
☐ Claiming Small Entity As Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).
☐ Claiming Small Entity As Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).
☐ Claiming Small Entity As Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

FIRST-NAMED INVENTOR OR

APPLICATION IDENTIFIER:

SAMUEL W. LESSIN

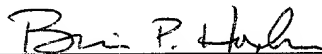
Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

7. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	49	- 20 =	29	\$ 18.00	\$522.00
Independent Claims (37 C.F.R. 1.16(b))	6	- 3 =	3	\$80.00	\$240.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	
				SUBTOTAL:	\$1472.00
				Reduction by 50% for filing by small entity:	- \$0.00
				TOTAL FEE:	\$1472.00

8. ☒ A check in the amount of **\$1472.00** is enclosed.
9. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 22369-001:
- ☒ Fees required under 37 C.F.R. §1.16;
- ☒ Fees required under 37 C.F.R. §1.17;
- ☐ Fees required under 37 C.F.R. §1.18.
10. ☒ Return Receipt Postcard Enclosed.
11. ☐ Other Documents Enclosed:
- ☐ Check for \$ 40.00 for recordation of assignment.
- ☐ Limited Recognition under 37 C.F. § 10.9(b) for Michel Morency.

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669

Attorney for Applicants

MINTZ, LEVIN, COHN, FERRIS,

GLOVSKY and POPEO, P.C.

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Dated: August 16, 2001